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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/783,410	02/14/2001	Reiner Kraft	ARC920000105US1	4675

28342 7590 01/09/2007

SAMUEL A. KASSATLY LAW OFFICE  
20690 VIEW OAKS WAY  
SAN JOSE, CA 95120

EXAMINER

ART UNIT PAPER NUMBER

DATE MAILED: 01/09/2007

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Notification of Non-Compliant Appeal Brief (37 CFR 41.37)</b>	<b>Application No.</b> 09/783,410	<b>Applicant(s)</b> Kraft et al.	
	<b>Examiner</b> O'Connor	<b>Art Unit</b> 3627	

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on August 31, 2006 is defective for failure to comply with one or more provisions of 37 CFR 41.37(c).

To avoid dismissal of the appeal, applicant must file a complete new brief in compliance with 37 CFR 41.37(c) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer. **EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.**

1. ☒ The brief was filed on or after September 13, 2004 and does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. ☒ The brief does not contain a correct statement of the status of all claims, (e.g., rejected, allowed or confirmed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3. ☒ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. ☐ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. ☒ The brief does not contain a concise and/or correct statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)).
6. ☐ The brief does not present an argument under a separate heading for each ground of rejection on appeal or include separate subheadings identifying any claim or groups of claims argued separately (37 CFR 41.37(c)(1)(vii)).
7. ☒ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8. ☐ The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner and relied upon by appellant in the appeal, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9. ☐ The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
10. ☒ Other (including any explanation in support of the above items):

Regarding items 1, 3, and 7, "[an appeal] brief shall not include any new or non-admitted amendment," as set forth in 37 CFR 41.37(c)(2).


The after-final amendment may be submitted by itself, which will then be indicated by the Office in an Advisory Action as having been entered, and applicant may then submit a new brief with the correct "Status of Amendments" and "Claims Appendix" sections.

Regarding item 2 above, a correct statement would be simply, "Claims 1-20 are pending, rejected, and appealed."

Regarding item 5 above, a correct statement of the "Grounds of Rejection to be Reviewed on Appeal" is:

"Claims 1-20 are rejected under 35 U.S.C. 102(e) as being anticipated by Jammes et al. (US 6,484,149)."

Gerald J. O'Connor  
Primary Examiner  
Art Unit: 3627

  
1/5/07